moda		Reimbursement Po	olicy Manual	Policy #:	RPM013	
Policy Title:	Modifiers 80, 81, 82, & AS - Assistant At Surgery Servi		Services			
Section:	Мо	difiers	Subsection:	Surgery		
<b>Scope:</b> This policy applies to the following		oplies to the following Me	dical (including Pharma	acy/Vision) p	olans:	
Companies:	<ul> <li>☑ All Companies: Moda Partners, Inc. and its subsidiaries &amp; affiliates</li> <li>☐ Moda Health Plan</li> <li>☐ Moda Assurance Company</li> <li>☐ Summit Health Plan</li> <li>☐ Eastern Oregon Coordinated Care Organization (EOCCO)</li> <li>☐ OHSU Health IDS</li> </ul>			lth Plan		
Types of Business:		All Types □ Commercia Commercial Marketplace/Ex Medicaid □ Medicare Advan	· ·	Self-funded		
States:	$\boxtimes$	All States □ Alaska □ Idaho	o □ Oregon □ Texas □	Washington		
Claim forms:	$\boxtimes$	☐ CMS1500 ☐ CMS1450/UB (or the electronic equivalent or successor forms)				
Date:		All dates   Specific date(s)				
	☐ Date of Service; For Facilities: ☐ n/a ☐ Facility admission ☐ Facility discharge		ity discharge			
		Date of processing				
Provider Contract Status:		Contracted directly, any/all r Contracted with a secondary		twork		
Originally Effective:		12/31/1999	Initially Published:	3/4/2014		
Last Updated:		9/11/2024	Last Reviewed:	9/11/2024		
Last update includes payment policy changes, subject to 28 TAC §3.3703(a)(20)(D)? No						
Last Update Effective Date for Texas:		9/11/2024				

### **Reimbursement Guidelines**

#### A. General

- Moda Health makes determinations regarding whether assistant at surgery services are reimbursable on a code-by-code basis. When multiple procedure codes are billed for a surgical session and only some of the codes are eligible for assistant surgeon reimbursement, only the eligible codes will be reimbursed.
- 2. The assistant surgeon must report the same codes as the surgeon. An exception to this is when the OB/GYN surgeon bills a cesarean global maternity care code. In that case, the assistant at surgery must bill the cesarean delivery only code.
- 3. Non-physician assistant at surgery services are to be submitted with modifier -AS appended, not modifier -81.
- 4. The same clinical edits apply to the assistant surgeon as the primary surgeon.
- 5. The surgeon needs to specify in body of the operative report what tasks were performed by the assistant during the procedure. It is not sufficient evidence of participation to list the assistant's name in the heading of the operative report.

### B. Provider Types Eligible for Reimbursement for Assistant at Surgery Services

Moda Health considers the following provider types eligible for reimbursement for assistant at surgery services:

- 1. MD (Medical Doctor)
- 2. DO (Doctor of Osteopathic Medicine)
- 3. PA (Physician's Assistant)
- 4. NP (Nurse Practitioner)
- 5. RNFA (Registered Nurse First Assistant)

#### C. Provider Types Not Eligible for Reimbursement for Assistant at Surgery Services

- 1. The following provider types are not eligible for reimbursement of assistant at surgery service. Moda Health does not credential these provider types, and they are not eligible providers under our member plan language.
  - a. Certified First Assistant (CFA)
  - b. Certified Surgical First Assistant (CSFA)
  - c. Certified Surgical Assistant (CSA)

These provider types are also not recognized by Medicare as eligible to bill or be reimbursed for assistant at surgery services.<sup>5</sup>

- 2. Claims for services of CFAs, CSAs, or CSFAs, will be printed and returned to the billing office.
- Contracted participating providers and groups are expected to not submit claims for assistant at surgery services performed by CFAs, CSAs, or CSFAs. Members may not be balance-billed for CFA services.

### D. Assistant Surgeon Fee Adjustments

Procedure codes eligible for assistant at surgery reimbursement:

- 1. Reported by physician providers with modifier -80 or -82 appended will be reimbursed at:
  - a. Commercial: 20% of the established fee for the primary surgery.
  - b. Medicare Advantage: 16% of the established fee for the primary surgery.
  - c. Medicaid: 16% of the established fee for the primary surgery.
- 2. Reported by physician providers with modifier -81 appended will be reimbursed at:
  - a. Commercial: 20% of the established fee for the primary surgery.
  - b. Medicare Advantage: 16% of the established fee for the primary surgery.
  - c. Medicaid: 16% of the established fee for the primary surgery.
- 3. Reported by a non-physician provider (NPP) with modifier -AS appended will be reimbursed at the following rates:
  - Commercial: 10% of the established fee for the primary surgery.
  - Medicare Advantage: 13.6% of the established fee for the primary surgery.
  - Medicaid: 16% of the established fee for the primary surgery.

<u>Please Note:</u> Other pricing adjustments may also apply before the final allowable amount for each line item is determined. For example, multiple surgery fee reductions, bilateral adjustments, related within global adjustments, co-surgery adjustments, etc.

### E. Procedure Codes Eligible for Assistant Surgeon Reimbursement

- 1. Procedure codes with a CMS assistant surgeon indicator of "2" are eligible for assistant surgeon reimbursement.
  - a. One assistant surgeon is allowed per procedure code/surgery.
  - b. A second assistant surgeon will be considered only on a written appeal when documentation of medical necessity for the second assistant surgeon is submitted.
- 2. Procedure codes with a CMS assistant surgeon indicator of "0" are not eligible for assistant surgeon reimbursement upon initial adjudication of the claim. However, upon written appeal the claim may be reviewed by Healthcare Services for possible allowance. The appeal needs to include documentation that explains and supports the medical necessity for the assistant surgeon for this specific patient situation. Per CMS guidelines, these procedures normally do not require an assistant surgeon, but an assistant surgeon may be medically necessary in some instances.
- 3. Procedure codes with a CMS assistant surgeon indicator of "1" or "9" are not eligible for assistant surgeon reimbursement. CMS guidelines indicate assistant surgeons cannot be paid on these procedures. No criteria or guidelines for approval upon appeal have been established for procedure codes with an indicator of "1" or "9".
- 4. In the absence of a CMS assistant at surgery indicator for a particular procedure code, Moda Health may establish an assistant at surgery designation.

#### F. Reconsiderations of Assistant Surgeon denials

Assistant surgeon denials will be reconsidered upon written appeal. Appropriate supporting documentation must be included, such as:

- 1. Copies of CMS assistant surgeon indicator setting showing an indicator of "0" or "1" for the relevant date of service.
- 2. Medical necessity for second assistant surgeon or procedure codes with a CMS assistant surgeon indicator of "0".
- 3. Other relevant coding guidelines.
- 4. Copy of the operative report and any other relevant medical record documentation.

## Codes, Terms, and Definitions

Acronyms & Abbreviations Defined

Acronym or	
Abbreviation	Definition
AMA	American Medical Association
APC	Ambulatory Payment Class
ASA	Association of Surgical Assistants
CCI	Correct Coding Initiative (see "NCCI")
CFA	Certified First Assistant
CMS	Centers for Medicare and Medicaid Services
CNS	Clinical Nurse Specialist
CPT	Current Procedural Terminology
CSA	Certified Surgical Assistant

Acronym or	
Abbreviation	Definition
CSFA	Certified Surgical First Assistant
DRG	Diagnosis Related Group (also known as/see also MS DRG)
HCPCS	Healthcare Common Procedure Coding System
	(acronym often pronounced as "hick picks")
HIPAA	Health Insurance Portability and Accountability Act
MS DRG	Medicare Severity Diagnosis Related Group (also known as/see also DRG)
NCCI	National Correct Coding Initiative (aka "CCI")
NP	Nurse Practitioner
NPP	Non-physician Provider
PA	Physician Assistant
RNFA	Registered Nurse First Assistant
RPM	Reimbursement Policy Manual (e.g., in context of "RPM052" policy number, etc.)
UB	Uniform Bill

# **Modifier Definitions:**

Modifier	Modifier Description & Definition
Modifier 80	Assistant Surgeon: Surgical assistant services may be identified by adding modifier 80
	to the usual procedure number(s).
Modifier 81	Minimum Assistant Surgeon: Minimum surgical assistant services are identified by
	adding modifier 81 to the usual procedure number.
Modifier 82	Assistant Surgeon (when qualified resident surgeon not available): The unavailability
	of a qualified resident surgeon is a prerequisite for use of modifier 82 appended to the
	usual procedure code number(s).
Modifier AS	Physician assistant, nurse practitioner, or clinical nurse specialist services for assistant
	at surgery.

<u>CMS Assistant Surgeon Indicators</u> are published on the Medicare Physician Fee Schedule Database (MPFSDB). Current values in use are:

Value	Assistant Surgeon Indicator Definition
0	Payment restriction for assistants at surgery applies to this procedure unless supporting
	documentation is submitted to establish medical necessity.
1	Statutory payment restriction for assistants at surgery applies to this procedure. Assistant at
	surgery may not be paid.
2	Payment restriction for assistants at surgery does not apply to this procedure. Assistant at
	surgery may be paid.
9	Assistant at Surgery concept does not apply.

## Coding Guidelines & Sources - (Key quotes, not all-inclusive)

## Modifier 80 Assistant Surgeon -

During certain operations, one physician assists another physician in performing a procedure. The physician who assists the operating surgeon would report the same surgical procedure as the operating surgeon. The assistant surgeon generally is present during the entire operation or a substantial portion of the operation to provide assistance to the operating physician.

This modifier is not intended for use by non-physicians assisting at surgery (e.g., Nurse Practitioners, Physician Assistants, Registered Nurse First Assistants, etc.).

#### Modifier 81 Minimum Assistant Surgeon –

At times the operating physician plans to perform a surgical procedure alone. When a minor problem is encountered during the operation that requires the service of an assistant surgeon for a relatively short period of time, this is considered a minimum assistant surgeon.<sup>2, 3</sup>

This modifier is not intended for use by non-physicians assisting at surgery (e.g., Nurse Practitioners, Physician Assistants, Registered Nurse First Assistants, etc.).

#### Modifier 82 Assistant Surgeon (when qualified resident surgeon not available) –

In certain programs or facilities (e.g., in teaching hospitals), the physician who generally acts as the assistant surgeon is a qualified resident surgeon. There may be times when a qualified resident surgeon is not available to assist the operating surgeon, so a physician assists the operating surgeon in this instance.

This modifier is not intended for use by non-physicians assisting at surgery (e.g., Nurse Practitioners, Physician Assistants, Registered Nurse First Assistants, etc.).

#### Modifier AS – Non-physician Assisting at Surgery

Medicare has established the -AS modifier to report Physician Assistant (PA), Nurse Practitioner (NP), or Clinical Nurse Specialist (CNS) services for assistant-at-surgery, non-team member. Therefore, for Medicare reporting purposes and as directed by a commercial third-party payor, to indicate PA, NP, or CNS services for assistant-at-surgery, HCPCS modifier -AS would be appended to the usual procedure number instead of one of the CPT modifiers.

"Although the intent of the assistant surgeon modifiers [-80, -81, -82] is to report physician services, the results of our survey indicate that many users report the modifiers for a variety of non-physician surgical assistant services. The most common misinterpretation of the assistant surgeon modifiers is to report PA or NP assistant surgical services.

Although, from a CPT perspective, this is not the intended use of the assistant surgeon modifiers, some third-party payors consider this an acceptable means of reporting non-physician assistants during surgery. Many have established their own guidelines for reporting assistant surgeon services.

Since each third-party payor may establish reporting guidelines that vary from coding guidelines, a clear understanding of CPT coding guidelines, as well as third-party payor reporting guidelines is essential."<sup>2</sup>

#### "Medicare Policy:

There is no Medicare provider category for RNFAs, no separately billable RNFA services, and no separate reimbursement for RNFA services. Medicare cannot reimburse a surgical assistant's services if the assistant is an unlicensed practitioner and does not qualify to be a Medicare provider.

Such services are reimbursed as part of the Diagnostic Related Group (DRG), if inpatient, and part of the Ambulatory Payment Class (APC), if outpatient.

The services of RNFAs must not be filed to Medicare Part B as payable services and/or billed to beneficiaries or their secondary insurance. To do so, such providers will be at risk of sanctions for inappropriate billing, which could constitute Medicare fraud."<sup>4, 6</sup>

"Assistant at surgery services rendered by a surgical technician, a first surgical assistant, scrub nurse, or any person bearing a title other than physician, PA, NP, CNS or nurse midwife are not payable by Medicare Part B and is not billable to the patient. Billing the services of a non-covered assistant at surgery under the surgeon's performing provider number is an inappropriate application of the "incident to" guidelines and any services billed in this manner represents an overpayment to the provider and must be refunded to the Program."<sup>5</sup>

"The assistant at surgery provides more than ancillary services."

"The "assistant at surgery" provides more than just ancillary services. The operative note should clearly document the assistant surgeon's role during the operative session."

"Assists requiring medical documentation [Assistant at Surgery Indicator 0] must have a complete explanation of why an assist was medically necessary as well as what distinct services the assistant provided. Opening/closing, positioning, retraction, and holding of surgical equipment are not reason enough to support an assist's payment. Listing the name of the assistant in the head of the operative report and use of "we" and "us" throughout the operative note is not enough evidence of participation."

"When a PA, NP, or CNS actively assists the surgeon during a procedure, modifier AS is reported on the assistant's claim. Not all surgical procedures require the need for assistance. The Medicare Physician Fee Schedule applies indicators to each procedure to identify if it is reportable by an assistant. If an assistant is allowed, documentation in the operative report by the surgeon should identify the assistant and describe the work of the assistant to support medical necessity. ... When an MD or DO actively assists another surgeon, modifier 80 is reported on the assistant surgeon's claim. As mentioned above, the use of an assistant must be supported by medical necessity that is clearly identified in the operative report." 10

"To bill for an assistant at surgery, the surgeon is required to specify in the body of the operative report what the assistant actually does. It is not sufficient evidence of participation to list the assistant's name in the heading of the operative report. It is also a good idea to mention in the indications paragraph why there is a need for an assistant. Carriers that request the op report in order to process the assistant's claim will deny claims if there is no accounting by the surgeon for what was performed by the assistant." <sup>11</sup>

### "Documentation Must Support Medical Necessity

Documentation must establish medical necessity for all cases when a surgical assistant is used. Although a payer might not request documentation when a claim is filed, and might choose to pay a claim without review, you shouldn't file a claim without documentation to support it. An operative note detailing the need for services rendered is not just a coding and reimbursement requirement, but also a compliance and ethical requirement.

The surgeon should specify in the body of the operative report what the assistant actually did. It's insufficient to simply list the assistant's name. The surgeon should clearly explain in the "indications" paragraph of the note why an assistant was necessary, and that he or she was involved in the actual performance of the covered surgical procedure, and not simply there to perform other ancillary services.

Coders should inform physicians of the documentation requirements for reporting assistant-at-surgery services. It's not always easy communicating with physicians, but the alternative is lost reimbursement, which can occur again and again if not corrected."<sup>12</sup>

#### **Cross References**

- A. "Robotic Assisted Surgery." Moda Health Reimbursement Policy Manual, RPM006.
- B. <u>"Valid Modifier to Procedure Code Combinations."</u> Moda Health Reimbursement Policy Manual, RPM019.
- C. "Maternity Care." Moda Health Reimbursement Policy Manual, RPM020.
- D. <u>"Modifier 51 Multiple Procedure Fee Reductions."</u> Moda Health Reimbursement Policy Manual, RPM022.
- E. "Add-on Codes." Moda Health Reimbursement Policy Manual, RPM025.

#### **References & Resources**

- 1. American Medical Association. "Modifiers Used with Surgical Procedures", *CPT Assistant*, Fall 1992, page 15.
- 2. American Medical Association. "A Closer Look at the Use of Surgical Modifiers" *CPT Assistant,* March 1996: 8.
- 3. Grider, Deborah J. Coding with Modifiers: A Guide to Correct CPT and HCPCS Level II Modifier Usage. Chicago: AMA Press, 2004.
- 4. "Surgical Assistant Services Revised." Noridian Medicare B News, August 5, 2009.
- 5. Association of Surgical Assistants (ASA). "Appropriate Use of Assistant At Surgery Modifiers And Payment Indicators Clarification." *The Surgical Assistant*. Vol. 19 No. 3, Summer 2013. Page 3.
- CMS. Medicare Claims Processing Manual (Pub. 100-4). Chapter 12 Physician Practitioner Billing, § 100.1.7.B.
- 7. Noridian. "Modifier AS." Noridian Administrative Services (NAS), LLC. Last updated: October 25, 2022; Last accessed August 12, 2024. <a href="https://med.noridianmedicare.com/web/jfb/topics/modifiers/as">https://med.noridianmedicare.com/web/jfb/topics/modifiers/as</a>.
- 8. Novitas. "Assistant at Surgery Modifier Fact Sheet." *Novitas Solutions*. Last updated: August 30, 2023; Last accessed August 12, 2024. <a href="https://www.novitas-solutions.com/webcenter/portal/MedicareJH/pagebyid?contentId=00144529">https://www.novitas-solutions.com/webcenter/portal/MedicareJH/pagebyid?contentId=00144529</a>.
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- of Professional Coders (AAPC). Last updated: January 1, 2008; Last accessed August 12, 2024. https://www.aapc.com/blog/23393-assistant-at-surgery/.
- 12. Holley, Marilyn, CPC, CPC-I, RHIT, CHISP. "." American Academy of Professional Coders (AAPC). Last updated: July 23, 2023; Last accessed: August 12, 2024. <a href="https://www.aapc.com/blog/25432-prompt-proper-assistant-at-surgery-payment/">https://www.aapc.com/blog/25432-prompt-proper-assistant-at-surgery-payment/</a>.

### **Background Information**

Modifiers are two-character suffixes (alpha and/or numeric) that are attached to a procedure code. CPT modifiers are defined by the American Medical Association (AMA). HCPCS Level II modifiers are defined by the Centers for Medicare and Medicaid Services (CMS). Like CPT codes, the use of modifiers requires explicit understanding of the purpose of each modifier.

Modifiers provide a way to indicate that the service or procedure has been altered by some specific circumstance but has not been changed in definition or code. Modifiers are intended to communicate specific information about a certain service or procedure that is not already contained in the code definition itself. Some examples are:

- To differentiate between the surgeon, assistant surgeon, and facility fee claims for the same surgery.
- To indicate that a procedure was performed bilaterally.
- To report multiple procedures performed at the same session by the same provider.
- To report only the professional component or only the technical component of a procedure or service.
- To designate the specific part of the body that the procedure is performed on (e.g., T3 = Left foot, fourth digit).
- To indicate special ambulance circumstances.

More than one modifier can be attached to a procedure code when applicable. Not all modifiers can be used with all procedure codes.

Modifiers do not ensure reimbursement. Some modifiers increase or decrease reimbursement; others are only informational.

Modifiers are not intended to be used to report services that are "similar" or "closely related" to a procedure code. If there is no code or combination of codes or modifier(s) to accurately report the service that was performed, provide written documentation and use the unlisted code closest to the section which resembles the type of service provided to report the service.

### **IMPORTANT STATEMENT**

The purpose of this Reimbursement Policy is to document our payment guidelines for those services covered by a member's medical benefit plan. Healthcare providers (facilities, physicians, and other professionals) are expected to exercise independent medical judgment in providing care to members. Our Reimbursement Policy is not intended to impact care decisions or medical practice.

Providers are responsible for submission of accurate claims using valid codes from HIPAA-approved code sets and for accurately, completely, and legibly documenting the services performed. Billed codes shall be fully supported in the medical record and/or office notes. Claims are to be coded appropriately according to industry standard coding guidelines (including but not limited to UB Editor, AMA, CPT, CPT Assistant, HCPCS, DRG guidelines, CMS' National Correct Coding Initiative [CCI] Policy Manual, CCI table edits and other CMS guidelines).

Benefit determinations will be based on the member's medical benefit plan. Should there be any conflicts between our Reimbursement Policy and the member's medical benefit plan, the member's medical benefit plan will prevail. Fee determinations will be based on the applicable provider fee schedule, whether out of network or participating provider's agreement, and our Reimbursement Policy.

Policies may not be implemented identically on every claim due to variations in routing requirements, dates of processing, or other constraints; we strive to minimize these variations.

\*\*\*\*\* The most current version of our reimbursement policies can be found on our provider website. If you are using a printed or saved electronic version of this policy, please verify the information by going to https://www.modahealth.com/medical/policies reimburse.shtml \*\*\*\*\*

## **Policy History**

Date	Summary of Update
9/11/2024	"Services" added to Title. Clarified documentation requirements. Updated Coding
	Guidelines and References & Resources. Formatting updates; no content changes.
7/10/2024	Clarified who will review appeals of Assistant surgeon indicator "0" claims.
8/9/2023	Formatting updates; no content changes.
12/14/2022	Idaho added to Scope. Formatting updates; no content changes.
6/8/2022	Updated Acronyms, and References & Resources.
	Formatting updates; no content changes.
3/4/2014	Policy initially approved by the Reimbursement Administrative Policy Review Committee
	& initial publication.
12/31/1999	Original Effective Date (with or without formal documentation). Policy based on CMS
	policy.