Mental Health Partial Hospitalization and Intensive Outpatient Treatment

Date of Origin: 04/20/10  Last Review Date: 07/26/17  Effective Date: 09/01/2017

Developed By: Medical Necessity Criteria Committee

I. Description
Psychiatric Partial Hospitalization (PHP) and Intensive Outpatient Programs (IOP) provide clinical diagnostic and treatment services on a level of intensity similar to an inpatient or residential program, but on a less than 24 hour basis. These services include therapeutic milieu, nursing, psychiatric evaluation, medication management, and group/individual/ family counseling. The treatment setting may be at a hospital or clinic and provides a highly structured environment to ensure continuity of treatment and safety. PHP and IOP may be appropriate when a patient does not require a restrictive, intensive 24 hour inpatient setting, but does need a higher intensity of services than outpatient treatment can provide. PHP and IOP provide a time-limited service to stabilize acute symptoms and can be used as either a step-down from inpatient care, or as a stand-alone level of care to stabilize a deteriorating condition and prevent hospitalization.

II. Criteria: CWQI BHC-0007
A. Program Requirements:
   1. Provider holds licensure and/or accreditation for the level and type of care provided
      a. Facilities in Oregon must be licensed as hospitals or certified under OAR 309-019-0100 through 309-019-0220 or OAR 309-039-0500 to 309-039-0580.
      b. Facilities outside of Oregon must be licensed as hospitals or hold accreditation from the Joint Commission on Accreditation of Healthcare organizations (JCAHO) or Commission on Accreditation of Rehabilitation Facilities (CARF).
      c. Appropriately licensed medical and mental health professionals may provide Intensive Outpatient Services within the scope of their individual licenses.
   2. Regular psychiatric medication reviews (PHP). Any changes in the patient’s mental status should be shared with the psychiatrist so that appropriate changes or modifications can be made to the medication regimen.
   3. Patient must be involved in a structured treatment program for at least 4 hours per day (PHP).
   4. Family therapy should be included in treatment goals, unless there is evidence and documentation as to reasons why it is contraindicated.
5. The treatment focus should be on strengthening coping skills and social supports, with the intention of moving the patient to the outpatient level of care where ongoing therapeutic work can take place.

6. The patient’s outpatient therapist should be involved in treatment and discharge planning. In the event that a patient does not have an outpatient therapist, efforts to secure an outpatient provider should begin upon admission.

B. **Admission Criteria:**

Authorization for admission is indicated by **ALL** of the following:

1. Patient has an active psychiatric diagnosis which requires therapeutic intervention.
2. **Either** of the following:
   a. The patient is at risk to self or others due to suicidal or homicidal ideation, risk-taking, self-endangering behavior, loss of impulse control, significantly impaired judgment, or significant role failure which is not so severe that it requires 24 hour supervision, but does require intensive structure and supervision.
   b. The patient has a documented chronic pain condition substantially interfering with functioning or quality of life (applies only to IOP programs for pain management).
3. The patient cannot be treated at a lower level of care without the risk of deterioration.
4. The treatment plan clearly states what benefits the patient can expect to receive by participating in the program and promotes the patient’s ability to independently manage symptoms and resolve problems. The goals of treatment cannot be based solely on the need for structure and support.
5. Services are provided at the least intensive level required to support the patient’s stability and recovery as the patient progresses in the ability to function independently.
6. For recent readmissions, the treatment plan clearly states what will be done differently to address risk factors that led to the readmission, identifies additional interventions, builds upon previous treatment and promotes increased use of skills to support a successful transition to a lower level of care.

C. **Continued Care Criteria:**

Continued authorization is indicated by **ALL** of the following:

1. The patient continues to meet admission criteria for this level of care.
2. The patient has not progressed enough in treatment to be safely moved to a lower level of care or there has been an emergence of additional problems that meet admission criteria.
3. The patient is actively participating in treatment and is expected to improve to a point where a lower level of care is appropriate.
4. Treatment is provided at the lowest level of intensity (including number of days per week) necessary to maintain the patient’s stability and achieve progress toward appropriate treatment goals.
5. Progress in meeting treatment goals can be clearly demonstrated and described in objective terms, or changes in interventions are implemented when there is a lack of progress.
6. Treatment is coordinated with and not duplicative of outpatient services.
7. Discharge planning begins at admission and is continuously updated throughout treatment.

D. **Discharge Criteria:**

Termination of continued authorization is indicated by **1 or more** of the following:

1. The patient no longer meets admission criteria for this level of care.
2. The patient’s treatment plan goals have been substantially met, and continued care can be provided at a lower level of care.
3. The patient is not making progress toward treatment goals at the current level of care.

III. Information Submitted with the Prior Authorization Request:

1. Diagnosis, symptoms, and functional impairment;
2. Relevant biopsychosocial and treatment history;
3. Alcohol and other drug use history, or assessment;
4. Current medical status and relevant medical history;
5. Current medications;
6. Risk assessment;
7. Treatment plan;
8. Specific goals for stabilization; and
9. Plan for outpatient follow-up following discharge.

IV. Annual Review History

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<thead>
<tr>
<th>Review Date</th>
<th>Revisions</th>
<th>Effective Date</th>
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<tbody>
<tr>
<td>05/2013</td>
<td>Annual Review. Added table with review date, revisions, and effective date. Minor word changes.</td>
<td>5/2013</td>
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<td>05/2014</td>
<td>Annual Review.</td>
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<tr>
<td>05/2015</td>
<td>Annual Review. Added criteria for pain management.</td>
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<td>07/2016</td>
<td>Annual Review.</td>
<td>07/2016</td>
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<tr>
<td>06/2017</td>
<td>Annual Review. Clarified licensure/accreditation requirements.</td>
<td>09/2017</td>
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V. References


Appendix 1 – Centers for Medicare and Medicaid Services (CMS)

Medicare coverage for outpatient (Part B) drugs is outlined in the Medicare Benefit Policy Manual (Pub. 100-2), Chapter 15, §50 Drugs and Biologicals. In addition, National Coverage Determination (NCD) and Local Coverage Determinations (LCDs) may exist and compliance with these policies is required where applicable. They can be found at: http://www.cms.gov/medicare-coverage-database/search/advanced-search.aspx. Additional indications may be covered at the discretion of the health plan.

Medicare Part B Covered Diagnosis Codes (applicable to existing NCD/LCD):

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<thead>
<tr>
<th>Medicare Part B Administrative Contractor (MAC) Jurisdictions</th>
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<td>Jurisdiction</td>
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